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Docket No.: 80374(47762)  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Hideaki Kaji et al.

Application No.: 10/567,444

Confirmation No.: 5048

Filed: February 7, 2006

Art Unit: N/A

For: PROCESS FOR PRODUCING AQUEOUS  
PIGMENT DISPERSIONS FOR INK-JET  
RECORDING

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

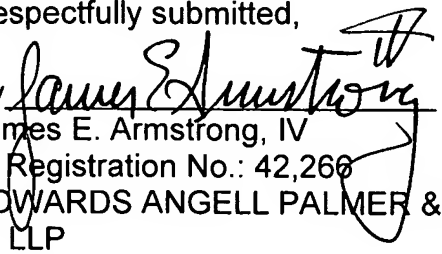
Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the Assignee's address. Please remove "Chuo-ku" from the Assignee's address. Also, in the name of the Assignee, please decapitalize "And" in the Dainippon Ink and Chemicals, Inc. A copy of the Notice of Recordation of Assignment Document is attached for your reference.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: March 19, 2008

Respectfully submitted,

By   
James E. Armstrong, IV

Registration No.: 42,266  
EDWARDS ANGELL PALMER & DODGE  
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Attorneys/Agents For Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/567,444	02/07/2006	1755	950	060123	21	1

CONFIRMATION NO. 5048

## FILING RECEIPT



Date Mailed: 03/10/2008

21874  
EDWARDS ANGELL PALMER & DODGE LLP  
P.O. BOX 55874  
BOSTON, MA 02205

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

## Applicant(s)

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Shinichi Okada, Kitaadachi-gun, JAPAN;  
Hiroshi Harada, Ageo-shi, JAPAN;  
Sadahiro Inoue, Toda-shi, JAPAN;

## Assignment For Published Patent Application

Dainippon Ink And Chemicals, Inc., Chuo-ku, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 21874

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2003/010219 08/11/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 03/06/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/567,444**

Projected Publication Date: 06/12/2008

Non-Publication Request: No

Early Publication Request: No

**Title**

Process For Producing Aqueous Pigment Dispersions For Ink-Jet Recording

**Preliminary Class**

106

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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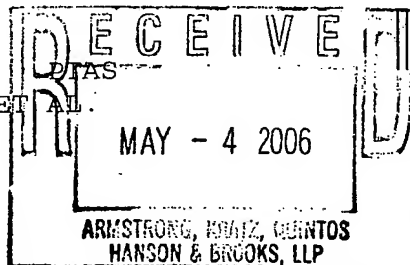


060123

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 28, 2006

ARMSTRONG, KRATZ, QUINTOS, ET AL.  
1725 K STREET, N.W.  
SUITE 1000  
WASHINGTON, D.C. 20006

\*103176969A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 02/07/2006

REEL/FRAME: 017545/0611  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 060123

ASSIGNOR:

KAJI, HIDEAKI

DOC DATE: 09/07/2005

ASSIGNOR:

KANEKO, KAZUHIRO

DOC DATE: 09/07/2005

ASSIGNOR:

SMALL, RITSUKO

DOC DATE: 09/18/2005

ASSIGNOR:

ARAKAWA, HIROMICHI

DOC DATE: 09/07/2005

ASSIGNOR:

OKADA, SHINICHI

DOC DATE: 09/07/2005

ASSIGNOR:

HARADA, HIROSHI

DOC DATE: 09/07/2005

5/5/06

ASSIGNOR:

INOUE, SADAHIRO

DOC DATE: 09/07/2005

ASSIGNEE:

DAINIPPON INK AND CHEMICALS, INC.  
35-58, SAKASHITA 3-CHOME, ITABASHI-  
KU  
TOKYO, JAPAN

SERIAL NUMBER: 10567444

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PROCESS FOR PRODUCING AQUEOUS PIGMENT DISPERSIONS FOR INK-JET  
RECORDING

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

A/D 581-40

7 20050401

AP20 REC

17 FEB 2006

Attorney Docket No. 060123

02-13-2006

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Commissioner for Patents: Please record the attached original document copy thereof.

**Submission type:** XX New  
\_\_\_\_ Resubmission (Non-Recordation)  
Document ID #: \_\_\_\_\_  
\_\_\_\_ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
\_\_\_\_ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

**Conveyance type:** XX Assignment  
\_\_\_\_ License  
\_\_\_\_ Merger  
\_\_\_\_ Security Agreement  
\_\_\_\_ Change of name  
\_\_\_\_ Other

Conveying Party (ies):		(Last, First, Middle Initial)	Execution Date
First party	Name:	KAJI, Hideaki	September 7, 2005
Second party	Name:	KANEKO, Kazuhiro	September 7, 2005
Third party	Name:	SMALL, Ritsuko	September 18, 2005
Fourth party	Name:	ARAKAWA, Hiromichi	September 7, 2005
Fifth party	Name:	OKADA, Shinichi	September 7, 2005
Sixth party	Name:	HARADA, Hiroshi	September 7, 2005
Seventh party	Name:	INOUE, Sadahiro	September 7, 2005

Additional name(s) of conveying party(ies) attached? Yes \_\_\_\_ No XX

**Receiving Party (ies):**

First party Name: **DAINIPPON INK AND CHEMICALS, INC.**  
Address: **35-58, Sakashita 3-chome, Itabashi-ku, Tokyo, Japan**

Additional name(s) & address(es) attached? Yes \_\_\_\_ No XX

**Domestic Representative Name and Address**

Armstrong, Kratz, Quintos, Hanson & Brooks, LLP  
Suite 1000, 1725 K Street  
Washington, D.C. 20006  
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PATENT TRADEMARK OFFICE

02/09/2006 GF REY1 00000107 10567444

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Correspondent Name and Address

Telephone: 202-659-2930

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
1725 K Street, N.W., Suite 1000  
Washington, D.C. 20006

Pages Enter the total number of pages of the attached conveyance document: 1  
(including any attachments, but not counting cover sheets)

Application Number(s) or Patent Number(s) Additional numbers attached? Yes ☐ No ☒ XX

A. Patent Application No.(s)

B. Patent No.(s)

If this document is being filed together with a new application, enter the date the application was signed by the first named executing inventor: September 7, 2005

(Do not recite both application and patent numbers.)

Patent Cooperation Treaty (PCT)

PCT PCT/JP03/10219

Enter PCT application number only if

PCT

a U.S. Application Number has not been assigned

PCT

Number of Properties Enter the total number of properties involved: 1

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41). . . . . \$40.00

☒ Enclosed

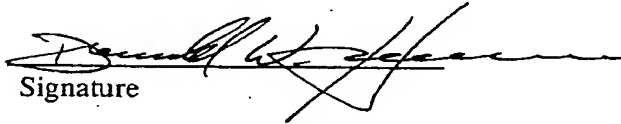
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Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald W. Hanson

Name of Person Signing

  
Signature

February 7, 2006

Date

27,133

Registration No.